

Absolute Insight Single Strategy Funds Application form



Absolute Insight Funds Plc ('the Company') application form

Please ensure you have read the Prospectus for the Company, including the relevant supplement (together the "Prospectus") before completing this application form. Defined terms used in this application form are those used in the Prospectus.

All applicants should sign the necessary declarations in Section 9. Please contact the Administrator if you are an Irish taxable person.

With the exception of the Absolute Insight Credit Fund, the Absolute Insight funds deal daily for subscription, redemption and switch requests on normal business days in Dublin ("Dealing Day"), and must be received by 11:59am, Irish time (the "Dealing Deadline"). Applications received after the Dealing Deadline will be processed on the next Dealing Day.

The Absolute Insight Credit Fund deals weekly each Wednesday. Subscription and switch in requests must be received by the Dealing Deadline that day. Redemption requests and switch out requests for the Absolute Insight Credit Fund are dealt one week in arrears, therefore all instructions received by 12:00 midday (Irish time) each Wednesday will be dealt the following Wednesday. Where a Wednesday is not a normal business day in Dublin, the Dealing Day is on the following business day and the Dealing Deadline is on the preceding business day.

On completion please return to the Company c/o the Administrator at the following address:

Absolute Insight Funds Plc,
Insight TA Team
78, Sir John Rogerson's Quay, Dublin 2, Ireland
Tel: +44 (0) 20 3450 0874 + 353 1 242 5405 Fax: + 353 1 523 3788
Email: insightTATeam@statestreet.com
Telephone calls may be recorded.

1. I/We wish to open this account by investing the amount set out in the table alongside

¹ For more information on the Share classes that are available across the Funds please refer to the Prospectus.

² Euro, US Dollar and Japanese Yen denominated shares are Accumulation Shares and sterling denominated Shares are Re-investment Shares.

³ If applicable, please insert the amount of initial commission to be taken by your financial adviser as detailed in Section 10.

Fund	Share class ¹	Currency ² (€ / £ / JPY / US\$)	Investment amount		Remuneration % ³
			Shares	Cash	
Absolute Insight Emerging Market Debt Fund					
Absolute Insight UK Equity Market Neutral Fund					
Absolute Insight Currency Fund					
Absolute Insight Credit Fund					

2. Name(s) for registration (block capitals)

Please complete either section (a) for individual investors or individual trustees or (b) for corporate investors or corporate trustees.

Investments may be registered in the names of up to four joint holders. If there are more than two joint holders please provide the additional details on a separate sheet. Please note all joint holders must sign the application form. Correspondence will be sent to the first named holder only. Investments cannot be registered in the name of any trust or executorships but must be registered in the names of individual trustees.

PO Box or C/O addresses will not be accepted.

(a) Individual investors / Individual trustees:

1. Registration details

Title (Mr, Mrs, Miss, Other)	Full name
<input type="text"/>	<input type="text"/>
Address	
<input type="text"/>	
<input type="text"/>	
Postcode	Email address
<input type="text"/>	<input type="text"/>
Telephone number	Fax number
<input type="text"/>	<input type="text"/>
For trusts/estates please indicate name here	
<input type="text"/>	
Nationality	Date of Birth
<input type="text"/>	<input type="text"/>

The Administrator may issue electronic reports to shareholders. By ticking the box, I hereby consent to electronic receipt of contract notes, statements and other reports which may be issued from time to time in accordance with Irish law by the Administrator in respect of my holdings in the Company.

2. Registration details

Title (Mr, Mrs, Miss, Other)

Full name

Address

Postcode

Email address

Telephone number

Fax number

For trusts/estates please indicate name here

Nationality

Date of Birth

(b) Corporate shareholders or corporate trustee:

Place of Incorporation

Full title of body corporate / corporate trustee for registration

Account Designation(s) (if applicable)

Address

Postcode

Email address

Telephone number

Fax number

For trusts/estates please indicate name here

Mailing contact name

Mailing address

Postcode

Email address

Telephone number

Fax number

3. Method of payment

Payment can be made by either CHAPS, telegraphic transfer, or for Sterling settlements, BACS. Cleared funds must be received and accepted by the Administrator by the fourth Business Day following the relevant Dealing Day, unless otherwise approved by the Directors, into the appropriate bank account detailed alongside.

Please be aware that if you are settling by BACS, payment must be made, at the latest, by Dealing Day plus one day. This will ensure timely settlement. Any settlement received after the contractual settlement date of your trade may be liable to an interest charge.

	£	€	US\$	JPY
Bank name	Bank of America			
Payment method	CHAPS / TT	BACS	CHAPS / TT	CHAPS / TT
Sort code	16-50-50	30-16-35	16-50-50	n/a
Account number	47311022		47311014	6550162927
Account name	Absolute Insight Funds plc			
IBAN / ABA number	GB39BOFA16505047311022	n/a	GB61BOFA16505047311014	026009593
Swift code	BOFAGB22		BOFAGB22	BOFAUS3N

From: enter details of your bank account which will be used for this subscription.

Account number

SWIFT/ABA/Sort code

 - -

Currency

Correspondent bank

MONIES MUST COME FROM THE ACCOUNT OF THE INVESTOR

4. Investor bank account details (mandatory)

Please state the details of the account to which redemption proceeds, and/or dividend distributions should be paid. Payments will only be made to a bank account held in the name of the registered shareholder. No third party payments will be made.

Both IBAN & SWIFT (BIC) Codes should be quoted for all banks within the EU/EEA.

Amendments to investors' payment instructions will only be effected upon receipt of an original instruction from an authorised signatory. In the case of joint accounts, instructions will only be made upon receipt of instruction signed by all applicants.

For GBP Share Classes

Please complete your bank account details. Until further notice, funds may be sent by electronic transfer to the bank account as follows:

Bank name

Account name

Bank address

Account number / IBAN number

Sort code

For further credit

ABA or CHIPS number (if applicable)

For US Dollar Share Classes

Please complete your bank account details. Until further notice, funds may be sent by electronic transfer to the bank account as follows:

Bank name

Account name

Bank address

Account number / IBAN number

Swift code

For further credit

ABA or CHIPS number (if applicable)

For EUR Share Classes

Please complete your bank account details. Until further notice, funds may be sent by electronic transfer to the bank account as follows:

Bank name

Account name

Bank address

Account number / IBAN number

Swift code

For further credit

ABA or CHIPS number (if applicable)

For Japanese Yen Share Classes

Please complete your bank account details. Until further notice, funds may be sent by electronic transfer to the bank account as follows:

Bank name

Account name

Bank address

Account number / IBAN number

Swift code

For further credit

ABA or CHIPS number (if applicable)

5. Anti-money laundering requirements and anti-terrorist financing measures

In line with anti-money laundering requirements operating in various jurisdictions, all investors are required to be identified. For this purpose certain documentation will be required at the time of application. Failure to supply all of the necessary documentation will result in payments being withheld.

Please tick the most appropriate box and provide the relevant documentation.

Additional confirmation of identify of the applicant, authority of the applicant or source of the funds may be required in certain circumstances.

Under Irish legislation covering anti-money laundering and anti-terrorist financing the Company and the Administrator are required to obtain documentation to verify the identity of all new clients and their beneficial owner(s), except where a client qualifies for an exemption as set out below.

The Company and the Administrator reserve the right to carry out additional procedures in relation to a client who meets the definition of a Politically Exposed Person (PEP) including establishing the source of wealth/funds.

Please note that the application may not be accepted until all the relevant information has been received.

Redemptions will not be processed on non cleared/verified accounts. If you are an Existing Investor it will not be necessary to provide any of the information set out below in connection with this application.

Exemptions

If you are a bank/financial institution/listed company/public body or a body entrusted with a public function authorised and regulated in the Equivalent Jurisdiction as defined below then please provide the following information.

Name of regulated entity

Name of regulator

It should be noted that a subsidiary of a parent listed company in an Equivalent Jurisdiction is also exempt provided the subsidiary company is bound by the anti-money laundering and anti-terrorist financing policies and procedures of the parent listed company.

An Equivalent Jurisdiction includes all countries in the European Union, Australia, Canada, Hong Kong, Iceland, Isle of Man, Jersey, Gibraltar, Guernsey, Japan, New Zealand, Norway, Singapore, Switzerland and the USA.

If you are an intermediary/agent/nominee company authorised and regulated in an Equivalent Jurisdiction as defined above then please complete an Eligible Introducer Form, which may be obtained from the Administrator.

Are you investing as:

An individual and joint investor/s

If you, as applicant(s) or transferee(s) are an INDIVIDUAL(S) please supply the following documents; in the case of joint holders, please supply the relevant documentation in respect of ALL holders.

1. Certified* copy of your photo identification card/passport AND

2. **Two forms of address verification.** Must be no more than 3 months old. This can be supplied as one of the following options:

i) Certified* copies of 2 different utility bills OR

ii) Certified* copy of utility bill and a bank statement

* All certified copies should be true-ink and certified by a Notary Public, Solicitor, Company Registrar or any person so authorised under the laws of your country or domicile.

Where the customer is not physically present for identification purposes or where an electronic form of verification is provided, then the first payment must be made through an account in the customer's name with a credit institution from an Equivalent Jurisdiction.

A Financial Company NOT investing on behalf of underlying investors

I/We are a bank/provider of financial services or a nominee company/nominee account which is part of/used by a bank/provider of financial services authorised and regulated in Australia, Austria, Belgium, Bermuda, Canada, Denmark, Finland, France, Germany, Gibraltar, Greece, Guernsey, Hong Kong, Iceland, Ireland, Italy, Jersey, Luxembourg, Isle of Man, Netherlands, New Zealand, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, United Kingdom, United States. (Please note this list is subject to change).

Please supply the following:

1. The name of regulated entity and also the name of your regulator below:

Name of regulated entity

Name of regulator

In order to verify that the signatories on the application form are authorised to sign you must provide:

2. Certified authorised signatory list (ASL)* on company letterhead.

- This must be accompanied by a mandate*. The mandate should confirm the individuals and their capacity in which they have authority to operate on the account.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

* This documentation must be original or certified copies by a bank, lawyer or solicitor, notary public or regulated Investment Adviser.

A Financial Company, Agent or Intermediary who is investing on behalf of underlying investors

1. I/We (name and address of company / agent / intermediary)

confirm that we are acting on behalf of a third party,

confirm that we are regulated in (name of jurisdiction
by (name of regulator)

2. Please contact the Administrator for the appropriate Letter of Introduction which must be completed and signed by your compliance department / Money Laundering Reporting Officer.

3. In order to verify that the signatories on the application form are authorised to sign you must provide:

- Certified authorised signatory list (ASL)* on company letterhead.
- This must be accompanied by a mandate*. The mandate should confirm the individuals and their capacity in which they have authority to operate on the account (e.g. Certified copy of board minutes)*.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

* This documentation must be original or certified copies by a bank, lawyer or solicitor, notary public or regulated Investment Adviser.

An institution

If you are an applicant/transferee that is an INSTITUTIONAL investor e.g. pension fund, local authority, or charity, please supply the following:

1. List of names, dates of birth, occupation and permanent addresses of all governors/board members/partners.
2. Certified copies of the above governors/board members/partners' identification cards/ passports and certified copies of proof of address* as per 'individual investors'.
3. Documentary evidence of the regulatory status of the applicant, please contact the Administrator for further details.
4. Authorised mandate or board resolution to establish the business relationship.

5. In order to verify that the signatures on the application form are authorised to do so you must provide:
- Certified authorised signatory list (ASL)* on company letterhead.
 - This must be accompanied by a mandate*. The mandate should confirm the individuals and their capacity in which they have authority to operate on the account (e.g. Certified copy of board minutes)*.
6. The names of the entity's beneficial owners, individuals who own or control more than 25% (twenty-five percent) of the entity, whether through direct or indirect ownership or control. If any such beneficial owner is an entity and not an individual the name of the individual(s) who owns or controls more than 25% (twenty-five percent) of that entity is required. In addition, the name and address of entity carrying out the anti-money laundering checks upon the scheme investors and confirmation that entity is regulated for AML purposes. The Company and the Administrator also reserve the right to request supporting identity verification documentation from all members who own more than 25% of the share capital, profit or voting rights of the entity. Where a member owns more than 25% of the share capital, profit or voting rights of the entity and that member is owned by an individual, the Company and the Administrator reserve the right to require supporting identity verification documentation from that individual.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

* This documentation must be original or certified copies by a bank, lawyer or solicitor, notary public or regulated Investment Adviser.

A company

If you are an applicant/transferee that is a COMPANY, please supply the following:

1. Confirmation of regulatory body (if applicable).
2. Certified copy certificate of incorporation or certificate to trade*.
3. A copy of the Memorandum and Articles of Association.
4. List of all directors' names, occupations, residential and business addresses and dates of birth.
5. In order to verify that the signatories on the application form are authorised to sign you must provide:
 - Certified authorised signatory list (ASL)** on company letterhead.
 - This must be accompanied by a mandate**. The mandate should confirm the individuals and their capacity in which they have authority to operate on the account (e.g. Certified copy of board minutes)**.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

*Certified by Companies Registration Office, solicitor or bank.

**This documentation must be original or certified copies by a bank, lawyer or solicitor, notary public or regulated Investment Adviser.

AND

If the company is not quoted on a stock exchange in Australia, Austria, Belgium, Bermuda, Canada, Denmark, Finland, France, Germany, Gibraltar, Greece, Guernsey, Hong Kong, Iceland, Ireland, Italy, Jersey, Luxembourg, Isle of Man, Netherlands, New Zealand, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, United Kingdom, United States (please note this list is subject to change). Please also supply:

- Identification as per individual investor (see above) for at least 2 directors and all persons authorised to operate on the account.
- If the investor is a privately-held entity (which is not a subsidiary of a corporation listed on a regulated market) the names of the corporation's beneficial owner(s), i.e. those persons or entities who own and/or control more than 25% (twenty-five percent) of the shares or voting rights of the corporation, whether through direct or indirect ownership or control, or who otherwise exercises control over its management ("Beneficial Owner") are required. If the Beneficial Owner is an entity and not an individual, then the name of the individual(s) who owns or controls more than 25% (twenty-five percent) of the Beneficial Owner is required. The Company and the Administrator also reserve the right to request supporting identity verification documentation from all of the Beneficial Owners. If the relevant Beneficial Owner is an entity and not an individual, identity documentation of any individual who owns or controls more than 25% (twenty-five percent) of that Beneficial Owner may be requested.
- Authorised mandate or board resolution to establish the business relationship.

A Limited Partnership or LLC

If you are an applicant/transferee that is a LIMITED PARTNERSHIP or LLC, please supply the following documentation:

1. Certified* copy of Certificate of establishment (or equivalent);
2. Certified* copy of limited partnership agreement or LLC operating agreement (clearly showing registered office address of the applicant);
3. Certified* copy of the applicants list of authorised signatories;
4. In respect of the General Partner / Managing Member / Directors (whichever is applicable);
 - A certified* copy of their passport (or national identity card) showing the photograph and signature; and
 - Two items of proof of address: for example an original or certified* copy of a recent utility bill or bank statement (not more than 3 months' old).
5. In respect of its limited partners / members:
 - Name of all partners (where not a partnership fund) or if a partnership fund names of the General Partner and partners who are ultimately entitled, whether direct or indirect, to more than a 25% share of the capital or profits of the partnership or more than 25% of the voting rights in the partnership or otherwise exercises control over the management of the partnership ("Beneficial Owner"). If a Beneficial Owner is itself owned by an individual which owns more than 25% of the share capital, profit or voting rights of the Beneficial Owner, the name of such individual must also be provided. If a Beneficial Owner is itself owned by an individual which owns more than 25% of the share capital, profit or voting rights of the Beneficial Owner, supporting identity verification documentation for that individual may be required.

* This documentation must be original or certified copies by a bank, lawyer or solicitor, notary public or regulated Investment Adviser.

A trust

If you are an applicant/transferee that is a TRUST, please supply the following:

1. List of names, date of birth, occupation and permanent addresses of all trustees/beneficiaries.
2. Certified copies of the above trustee's identification* as per 'individual investors' or 'a company' sections above.
3. Evidence of the above trustee's authority to make investments in the Company on behalf of the trust.
4. In order to verify that the signatures on the application form are authorised to do so you must provide:
 - Certified authorised signatory list (ASL)* on company letterhead.
 - This must be accompanied by a mandate*. The mandate should confirm the individuals and their capacity in which they have authority to operate on the account (e.g. Certified copy of trust deed)*.
 - The names of any beneficial owners of the trust. Beneficial owners are defined as: (i) any individual who is entitled to a specified interest in at least 25% (twenty-five percent) of the capital of the trust property; (ii) the class of persons in whose main interest the trust is set up or operates. This definition applies to trusts that operate entirely for the benefit of a class of persons with specific interest. Where only a class of person is required to be identified, it is sufficient to provide the name and the scope of the class without identifying any members of the class; (iii) any individual who has control over the trust. If the Beneficial Owner is an entity, the name of the individual(s) who own or control more than 25% (twenty-five percent) of that Beneficial Owner is required. The Company and the Administrator also reserve the right to request supporting identity verification documentation from each of the following (i) two trustees or one trustee and authorised signatory, (ii) any settler settling more than 25% of the capital of the trust; (iii) any Beneficial Owner and (iv) where the Beneficial Owner mentioned at (iii) above is owned by an individual, the Company and the Administrator may request supplementary identity verification documentation from that individual.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

* This documentation must be original or certified copies by a bank, lawyer or solicitor, notary public or regulated Investment Adviser.

A Nominee

If you are an applicant/transferee that is a NOMINEE, please supply the following:

1. Certified copy certificate of Incorporation or certificate to trade*.
2. Confirmation of name and address of parent of nominee.
3. Confirmation of regulatory body of parent of nominee.
4. Letter of assurance from parent – please contact the Administrator for this.
5. In order to verify that the signatories on the application form are authorised to sign you must provide:
 - Certified authorised signatory list for nominee (ASL)** on company letterhead.
6. In order to verify that the signatures on the letter of assurance from Parent are authorised to do so you must provide:
 - Certified authorised signatory list for parent (ASL)** on company letterhead.
 - ASL must be accompanied by a mandate**. The mandate should confirm the individuals and their capacity in which they have authority to operate on the account (e.g. Certified copy of board minutes)**.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

*Certified by Companies Registration Office, solicitor or bank.

**This documentation must be original or certified copies by a bank, lawyer or solicitor, notary public or regulated Investment Adviser.

6. Savings Directive (individual and joint investors only)

To verify your identity for tax purposes, please attach an original document containing your Tax Reference Number or if not available, an identity document containing details of your place and date of birth.

In accordance with the requirements of Council Directive 2003/48/EC ('Savings Directive'), individual investors are required to supply the following information. Under these requirements, the relevant paying agent may be required to disclose this information to the relevant tax authority depending on the investment and distribution policy of the relevant fund.

Applicant 1

Your place of residence for tax purposes

Your Tax Identification Number in your place of residence for tax purposes

Town and country of birth (for those without a Tax Identification Number)

Applicant 2

Your place of residence for tax purposes

Your Tax Identification Number in your place of residence for tax purposes

Town and country of birth (for those without a Tax Identification Number)

7. Declaration of residence outside the Republic of Ireland (mandatory)

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax.

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares.

Terms used in this declaration are defined in the Prospectus. Tick (a) or (b) as appropriate (mandatory).

(a) Declaration on own behalf

I/We declare that I am/we are applying for the shares on my own/our own behalf/on behalf of a company and that I am/we are/the company is entitled to the shares in respect of which this declaration is made and that:

- I am/we are/the company is not currently resident or ordinarily resident in Ireland, and
- should I/we/the company become resident in Ireland I will/we will so inform you, in writing, accordingly.

(b) Declaration as Intermediary

I/We declare that I am/we are applying for shares on behalf of persons:

- who will be beneficially entitled to the shares; and,
- who, to the best of my/our knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/We also declare that:

- unless I/we specifically notify you to the contrary at the time of application, all applications for shares made by me/us from the date of this application will be made on behalf of such persons; and,
- I/we will inform you in writing if I/we become aware that any person, on whose behalf I/we hold shares, becomes resident in Ireland.

Please sign below

Signature of all applicant(s)
(individuals) or duly authorised
signatories (corporate applicants).

Name (1)

Date

Authorised Signature

Name (2)

Date

Authorised Signature

Name (3)

Date

Authorised Signature

Name (4)

Date

Authorised Signature

8. Declaration of Residence within the Republic of Ireland (note 1) Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997

It is important to note that this declaration, if it is still then correct, shall apply in respect of any acquisitions of shares.

- I/We declare that the information contained in this declaration is true and correct.
- I/We also declare that I am/we are applying for the shares on behalf of the applicant named below who is entitled to the shares in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997 ("TCA 1997"), being a person who is:

Please tick as appropriate

- A pension scheme
- A company carrying on life business within the meaning of section 706 TCA 1997
- An investment undertaking
- A special investment scheme
- A unit trust to which section 731(5)(a) TCA 1997 applies;
- A charity being a person referred to in section 739D(6)(f)(i) TCA 1997;
- A qualifying management company
- A specified company
- Entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA 1997* or by virtue of Section 848E TCA 1997*, (see further requirements for Qualifying Fund Manager/Qualifying Savings Manager below).
- A PRSA Administrator
- A credit union within the meaning of Section 2 of the Credit Union Act 1997

Additional requirements where the declaration is completed on behalf of a charity

I/We also declare that at the time of making this declaration, the shares in respect of which this declaration is made are held for charitable purposes only and;

- form part of the assets of a body of persons or trust related by the Revenue Commissioners as a body or trust established for charitable purposes only, or
- are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.

I/We undertake that, in the event that the person referred to in paragraph (7) (d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA 1997, I/we will by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by a Qualifying Fund Manager/Qualifying Savings Manager/PRSA Administrator

I/We also declare that at the time this declaration is made, the shares in respect of which this declaration is made;

- are assets of an approved retirement fund/an approved minimum retirement fund, a special savings incentive account or a Personal Retirement Savings Account (PRSA), and
- are managed by the declarant for the individual named below who is beneficially entitled to the shares.

I/We undertake that, if the shares cease to be assets of the approved retirement fund/the approved minimum retirement fund, a PRSA, or held in a special savings incentive account, including a case where the shares are transferred to another such fund or account, I/we will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by an Intermediary

I/We declare that I am/we are applying for shares on behalf of persons who:

- to the best of my/our knowledge and belief have beneficial entitlement to each of the shares in respect of which this declaration is made; and
- is a person referred to in Section 739D(6) TCA 1997.

I/we also declare that:

- unless I/we specifically notify you to the contrary at the time of the application, all applications for shares made by me/us from the date of this application will be made on behalf of persons referred to in Section 739D(6) TCA 1997; and
- I/we will inform you in writing if I/we become aware that any person ceases to be a person referred to in Section 739D(6) TCA 1997.

Name of applicant

Irish Tax Reference Number of applicant ^(note 2)

Authorised signatory (Declarant) (Mr./Ms./etc.) ^(note 3)

Capacity in which declaration is made

Date

*Personal Retirement Savings Accounts.

Please sign below

Signature of all applicant(s)
(individuals) or duly authorised
signatories (corporate applicants).

Name (1)

Date

Authorised Signature

Name (2)

Date

Authorised Signature

Name (3)

Date

Authorised Signature

Name (4)

Date

Authorised Signature

Important Notes

- 1 This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
- 2 Tax Reference Number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a “specified person” within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager/qualifying savings manager, quote the Tax Reference Number of the beneficial owner of the shares.
- 3 In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. It must also be signed by a qualifying fund manager of an approved retirement fund/an approved minimum retirement fund, by a qualifying savings manager of a special savings incentive account or a PRSA administrator. In the case of an Intermediary, the declaration must be signed by the Intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case, it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

9. Signatures and Declarations

This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.

1. I/We hereby acknowledge as part of this application that I/we have been offered the Prospectus and the most recent annual reports and accounts for the Company and furthermore that this application is made on the terms thereof and subject to the provisions of the Prospectus and Memorandum and Articles of Association of the Company and I/we are bound by the terms of the Prospectus and Memorandum and Articles of Association of the Company.
2. I/We have made arrangements for payment to be made by electronic transfer in accordance with Section 3 of this application and acknowledge that the Company reserves the right to reject in whole or in part this application.
3. I/We hereby represent and declare that I/we: (1) am/are not a U.S. Person as defined in the Prospectus; (ii) have not been solicited to purchase and have not and will not acquire Shares while present in the United States; (iii) am/are not applying as a nominee of a U.S Person and I/we am/are not acting on behalf of nor do I/we intend to transfer any Shares to a U.S Person(s); (iv) will not transfer any Shares or any interest therein to a U.S Person and will not transfer any Shares within the United States; and (v) will promptly notify the Company if I/we should at any time become a U.S Person. I/We confirm that I/we have the authority to make this investment whether the investment is my/our own or is made on behalf of another person or institution. I/We hereby represent and declare that I/we are/am fully informed as to: (i) the legal requirements within my/our country for the purchase of the Shares and are permitted to purchase the Shares under the laws and regulations of my/our home country in the manner in which the Shares have been offered and sold to me/us; (ii) any foreign exchange restrictions applicable to me/us; and (iii) any relevant tax considerations relating to me/us arising out of our purchase and ownership of Shares.

4. I/We hereby agree to indemnify and hold harmless the Company, Custodian, Administrator, Investment Manager, Sub-Investment Manager, Distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the Company. The Company, the Custodian, the Administrator, the Distributor and the Investment Manager will not be responsible or liable for the authenticity of instructions received from me/us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine. I/We also agree to indemnify and hold harmless the Company, the Custodian, the Administrator, the Investment Manager, the Distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result from my/our holdings of shares in the Company which brings the Company into conflict with any requirement of any local regulatory authority or the Company incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.
5. I/We the undersigned hereby authorise the Administrator and any other agents to act upon instructions by fax or other such means as may from time to time be permitted by the Company and the Administrator including telephonic or electronic means (the "Instructions") with regard to the Shares subscribed for (and any further Shares purchased) or any matter in connection with them or any of them. I/We hereby agree to indemnify each of the Company and the Administrator and agree to keep them indemnified against any loss of any nature whatsoever arising to either of them as a result of them acting in respect of any transfer, payment or any other act done in accordance with such Instructions. The Company and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed (or given) by properly authorised persons.
6. I/We agree that the Administrator and the Company may record all telephone conversations made to and received from investors by the Administrator and the Company, their delegates, duly appointed agents and any of their respective related, associated or affiliated companies for record keeping, security and/or training purposes. Unless otherwise agreed in writing, I/we acknowledge that confirmation of telephone instructions given will not be issued by the Administrator or the Company. I/We undertake to confirm Instructions in writing upon request. I/We hereby agree to indemnify each of the Administrator (on its behalf and as an agent of the Company) and the Company and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon facsimile, telephonic, electronic or other Instructions.
7. (If you wish to retain the right to use electronic dealing).

I/We hereby acknowledge that any notice or document may be served by the Company on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if I have provided an e-mail address or fax number to the Company or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or e-mail address previously identified to the Company or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/we acknowledge that I/we are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by fax or electronically by notifying the Company in writing at the above address provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Company of written notice of such.

8. I/We acknowledge that due to anti-money laundering requirements operating within their respective jurisdictions and for the Savings Directive, the Company, the Administrator, the Investment Manager, the Sub-Investment Manager or the Distributor (as the case may be) may require further identification from me/us, as described in the Prospectus, at any time in relation to this application and the Company, the Administrator, the Investment Manager, the Sub-Investment Manager and the Distributor shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information as has been required by the parties referred to has not been provided by me/us. I/We also warrant and declare that the monies being invested pursuant to the application do not represent directly or indirectly the proceeds of any criminal activity and that the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.
9. I/We agree that personal information obtained in relation to me/us will be held on computer and may be disclosed by the Company, the Administrator, the Investment Manager, the Sub-Investment Manager or Custodian to each other or to their related companies and may be used for the purposes of maintaining records, administration of the Company and marketing of the Company or other investment products or services of the Investment Manager or its related companies. This may include disclosure to third parties such as auditors, the Irish Financial Services Regulatory Authority, the Revenue Commissioners or agents of the Administrator who process the data for anti-money laundering purposes or for compliance with the Savings Directive (2003/48/EC) or with foreign regulatory requirements. I/We also agree that such information may be transferred to countries outside the European Economic Area for these purposes and that such countries may not have equivalent data protection laws to those in Europe. I /we hereby acknowledge my/ our right of access to and the right to rectify my/our personal data. The Company is a data controller and will hold any personal information provided by me in accordance with the Data Protection Act 1988 as amended by the Data Protection (Amendment) Act, 2003.
10. To be valid, this application form must be signed by each applicant and if not fully completed to the satisfaction of the Company, the Administrator or the Distributor the application may be rejected. In the case of a partnership/firm applications should be signed by all the partners/proprietors. In the case of a corporation, applications should be executed under seal or signed by a duly authorised person(s) who should state the representation capacity. In the case of a trust, application should be made in the individual names of the trustees and should be accompanied by duly certified documentation. If this application form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this application.
11. I/We undertake to abide by the restrictions on transfers of shares set out in the Prospectus under the headings "Transfer of Shares". In addition, I/we undertake to ensure that the transferee completes an Application Form in respect of such transfer.
12. I/We hereby confirm that I/we shall be deemed to make, on a continuous basis, each of the statements contained herein unless I/we notify you to the contrary in relation to any Shares I/we hold or obtain at any time.
13. I/We agree to be bound by the declarations, representations, consents and indemnities set out in this Application Form.
14. I/We hereby irrevocably apply to invest on the terms set out in this application form.

Please sign below

Signature of all applicant(s)
(individuals) or duly authorised
signatories (corporate applicants).

Name (1)

Date

Authorised Signature

Name (2)

Date

Authorised Signature

Name (3)

Date

Authorised Signature

Name (4)

Date

Authorised Signature

10. Financial adviser authorisation

This section should be completed if you are investing on the advice of a financial adviser. This will help us keep your adviser up to date with the progress of your investment.

Please sign below

I/We hereby appoint the following financial adviser and authorise you to give him/her information relating to my/our account.

Investor name

Name (1)

Date

Authorised Signature

Name (2)

Date

Authorised Signature

This section should be completed by your financial adviser.

Financial adviser's stamp

Adviser's signature

Name

Job title

Full company name

Address

Postcode

Date

FSA/Local Regulator number

Insight Agent number (if applicable)

Telephone number

Fax number

Email address

Adviser Bank Details

Please complete financial adviser bank details into which any remuneration will be paid if applicable. No trial commission can be accrued or paid until bank details are provided in full. Initial commission will be applied upon fax or posted receipt of this application, but payment of the trail commission can not be made unless the original has been received by post.

Bank Name

Account Name

Bank Address

Account Number/IBAN number

Sort Code

For further credit

ABA or CHIPS number (if applicable)

Save where the application is in respect of a trust or being made by a company, I/we confirm that the applicant(s) is/are applying on his/her own behalf and not as a nominee, trustee or in a fiduciary capacity for any other person not disclosed in this application form.

I/We hereby confirm the following in connection with the registered shareholder ('the Shareholder') and all underlying beneficial shareholders of the Shareholder in the Funds:

1. The Shareholder is known to us and we will obtain sufficient information in order to verify the identity of the Shareholder in accordance with all applicable laws and regulatory requirements as set out by the Financial Action Task Force ('FATF').
2. We will provide you with all documents and information, which we may have on our files relating to the identity of the Shareholder upon reasonable written request.
3. We will retain these documents and information for a period of at least five years after the relationship with the Shareholder has ended.

IMPORTANT: If this section is not included with the application form, please ensure this page is submitted by fax on +353 1523 3788 and must then be posted to Absolute Insight Funds Plc, Transfer Agency Department, 78 Sir John Rogerson's Quay, Dublin 2, Ireland.

